

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ansley, Daniel R. and Willeford, Kenneth O.

Group Art Unit:

Serial No.:

Examiner:

Filed: Herewith

For: Composition And Method For Immunomodulation In Non-Mammalian Vertebrates

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR §1.9(f) AND §1.27(b)) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR §1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled COMPOSITION AND METHOD FOR IMMUNOMODULATION IN NON-MAMMALIAN VERTEBRATES and described in

☒ the specification filed herewith.
☐ application serial no.
☐ patent no. , issued

I have not assigned, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 §CFR 1.9(c) if that person had made the invention, or to any concern which could not qualify as a small business concern under 37 CFR §1.9(d) or a nonprofit organization under 37 CFR §1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey or license any rights to my invention is listed below*

*NOTE Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR §1.27)

Full Name _____

Address _____

☐ individual☐ small business concern☐ nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting from loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR §1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Daniel R. Ansley

Kenneth O. Willeford

Name Of First Inventor

Name Of Second Inventor

Name Of Third Inventor

Signature Of First Inventor

Signature Of Second Inventor

Signature Of Third Inventor

Date

Date

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Daniel R. Ansley

Kenneth O. Willeford

Name Of First Inventor

Name Of Second Inventor

Name Of Third Inventor

Signature Of First Inventor

Signature Of Second Inventor

Signature Of Third Inventor

Date

Date

Date

9-1-2000

0066009-091900

COMBINED DECLARATION AND POWER OF ATTORNEY

As below named inventors, we hereby declare that:

TYPE OF DECLARATION

This declaration is of the following type:

- | | |
|--|----------------------------|
| <input checked="checked" type="checkbox"/> | original |
| <input type="checkbox"/> | design |
| <input type="checkbox"/> | supplemental |
| <input type="checkbox"/> | national state of PCT |
| <input type="checkbox"/> | divisional |
| <input type="checkbox"/> | continuation |
| <input type="checkbox"/> | continuation-in-part (CIP) |

INVENTORSHIP IDENTIFICATION

My residence, post office address and citizenship is as stated below next to my name, I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TITLE OF INVENTION

COMPOSITION AND METHOD FOR IMMUNOMODULATION IN NON-MAMMALIAN VERTEBRATES

SPECIFICATION IDENTIFICATION

the specification of which:

- | | |
|--|---|
| <input checked="checked" type="checkbox"/> | is attached hereto. |
| <input type="checkbox"/> | was filed on _____ as Serial No. _____ or Express Mail No., as Serial No. _____ not yet known _____ and was amended on _____ (if applicable). |
| <input type="checkbox"/> | was described and claimed in PCT International Appln. No. _____ filed on _____ and as amended under PCT Article 19 on _____ (if any). |

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ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations. s 1.56(a).

☐ In compliance with this duty there is attached an information disclosure statement. 37CFR 1.97.

PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code, 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

☒ no such applications have been filed.
☐ such applications have been filed as follows:

EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

Country Application No. Date of Filing Priority Claimed Under 37 USC 119

ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS
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POWER OF ATTORNEY

As a named inventor, I hereby appoint the following attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Serle Ian Mosoff, Esq.
Reg. No. 25,900

Send Correspondence To:

Direct Telephone Calls To:

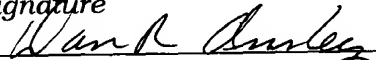
Serle Ian Mosoff
Law Offices at Sound Shore
303 Boston Post Road
Port Chester, NY 10573

(914) 939-1300

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE(S)

<i>Full Name of Sole or First Inventor</i> Daniel R. Ansley	<i>Citizenship</i> USA
<i>RESIDENCE Address-Street</i> 404 S. Maple Street	<i>POST OFFICE Address-Street</i> 404 S. Maple Street
<i>City (Zip)</i> Ottawa 66067	<i>City (Zip)</i> Ottawa 66067
<i>State or Country</i> Kansas	<i>State or Country</i> Kansas
<i>Date</i> 9-1-2000	<i>Signature</i> 

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303 BOSTON POST ROAD
PORT CHESTER, NEW YORK 10573
(914) 939-1300

<i>Full Name of Second Joint Inventor</i> Kenneth O. Willeford	<i>Citizenship</i> USA
<i>RESIDENCE Address-Street</i> 215 B Helen Circle	<i>POST OFFICE Address-Street</i> 215 B Helen Circle
<i>City (Zip)</i> Starkville 39759	<i>City (Zip)</i> Starkville 39759
<i>State or Country</i> Mississippi	<i>State or Country</i> Mississippi
<i>Date</i>	<i>Signature</i>

<i>Full Name of Third Joint Inventor</i>	<i>Citizenship</i>
<i>RESIDENCE Address-Street</i>	<i>POST OFFICE Address-Street</i>
<i>City (Zip)</i>	<i>City (Zip)</i>
<i>State or Country</i>	<i>State or Country</i>
<i>Date</i>	<i>Signature</i>

CHECK PROPER BOX(ES) FOR ANY OF THE FOLLOWING ADDED PAGE(S)
WHICH FORM A PART OF THIS DECLARATION

- ☐ Signature for fourth and subsequent joint inventors. Number of pages added: _____
- ☐ Signature by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor. Number of pages added: _____
- ☐ Signature for inventor who refuses to sign or cannot be reached by person authorized under 37 CFR 1.47. Number of pages added: _____
- ☐ Added pages to combined declaration and power of attorney for divisional, continuation, or continuation- in-part (CIP) application. Number of pages added: _____

If no further pages form a part of this Declaration then end this Declaration with this page and check the following item

- ☒ This declaration ends with this page.

COMBINED DECLARATION AND POWER OF ATTORNEY

As below named inventors, we hereby declare that:

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- | | |
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<i>City (Zip)</i> Ottawa 66067	<i>City (Zip)</i> Ottawa 66067
<i>State or Country</i> Kansas	<i>State or Country</i> Kansas
<i>Date</i>	<i>Signature</i>

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Full Name of Second Joint Inventor <i>Kenneth O. Willeford</i>	Citizenship <i>USA</i>
RESIDENCE Address-Street <i>215 B Helen Circle</i>	POST OFFICE Address-Street <i>215 B Helen Circle</i>
City (Zip) <i>Starkville 39759</i>	City (Zip) <i>Starkville 39759</i>
State or Country <i>Mississippi</i>	State or Country <i>Mississippi</i>
Date <i>Sept 4, 2000</i>	Signature <i>Kenneth O. Willeford</i>
Full Name of Third Joint Inventor	Citizenship
RESIDENCE Address-Street	POST OFFICE Address-Street
City (Zip)	City (Zip)
State or Country	State or Country
Date	Signature

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- ☐ Signature by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor. Number of pages added: _____
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